

## **SHIPPING NOTICE 01/2016 (Rev 1)**

### **REVISED FORMAT OF “STCW ENDORSEMENTS”**

**To:** OWNERS, MANAGERS, SEAFARERS, AND MASTERS OF CAYMAN ISLANDS SHIPS AND PORT STATE CONTROL OFFICERS.

#### **1. BACKGROUND**

- 1.1 Under the provisions of STCW I/2.5, the Cayman Islands accepts seafarers holding a Certificate of Competency issued by certain other Administrations for service onboard Cayman Islands ships.
- 1.2 Such a Certificate of Competency is recognized as valid for service on a Cayman Islands ship by the issue of an “Endorsement attesting the recognition of a certificate”. This is in accordance with STCW I/10.
- 1.3 In order to fully implement the revised requirements for “certificates” in the 2010 Amendments to the STCW Convention, the Cayman Islands will be introducing a new format for such “Endorsements” during June 2016.
- 1.4 Details of the new form of “Endorsement” and transitional arrangements effecting the validity of existing “Endorsements” are given below.

#### **2. NEW FORMAT OF “ENDORSEMENTS ATTESTING TO THE RECOGNITION OF A CERTIFICATE”**

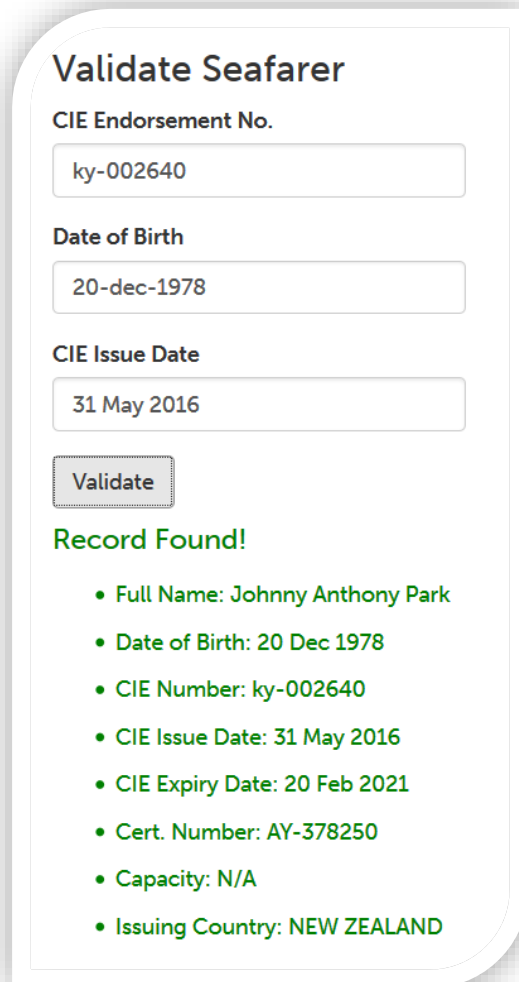
- 2.1 The new form of Endorsement is printed on watermarked A5 size high security paper. This endorsement features a digital photograph of the holder and digital signatures of both the holder and the issuing official.
- 2.2 **Security Features:**
  - 2.2.1 The front of the endorsement contains a hologram showing the Cayman Islands crest and a unique “audit number”.

2.2.2 Both the front and back of the endorsement feature a “micro printed” background. On the front of the endorsement, this micro printing depicts the words “Cayman Registry” and the Cayman Islands crest. On the back of the endorsement, the micro printing shows the words “ADVANCED SECURITY”.

### 2.3 Verification

The endorsement contains a “QR Code” which can be used to verify the validity of the endorsement via any internet connected device with a QR Code reader, such as a smartphone.

The QR code contains a specific URL which links to a dedicated validation web page. Contained in the URL are 3 parameters (Endorsement Number, DOB, Endorsement Issue Date) specific to each endorsement. These will automatically populate the 3 fields available on the validate page and return the below.



**Validate Seafarer**

CIE Endorsement No.  
ky-002640

Date of Birth  
20-dec-1978

CIE Issue Date  
31 May 2016

**Record Found!**

- Full Name: Johnny Anthony Park
- Date of Birth: 20 Dec 1978
- CIE Number: ky-002640
- CIE Issue Date: 31 May 2016
- CIE Expiry Date: 20 Feb 2021
- Cert. Number: AY-378250
- Capacity: N/A
- Issuing Country: NEW ZEALAND

The validity of any Endorsement can also be verified via the telephone, email and postal contact details given on the front of the Endorsement.

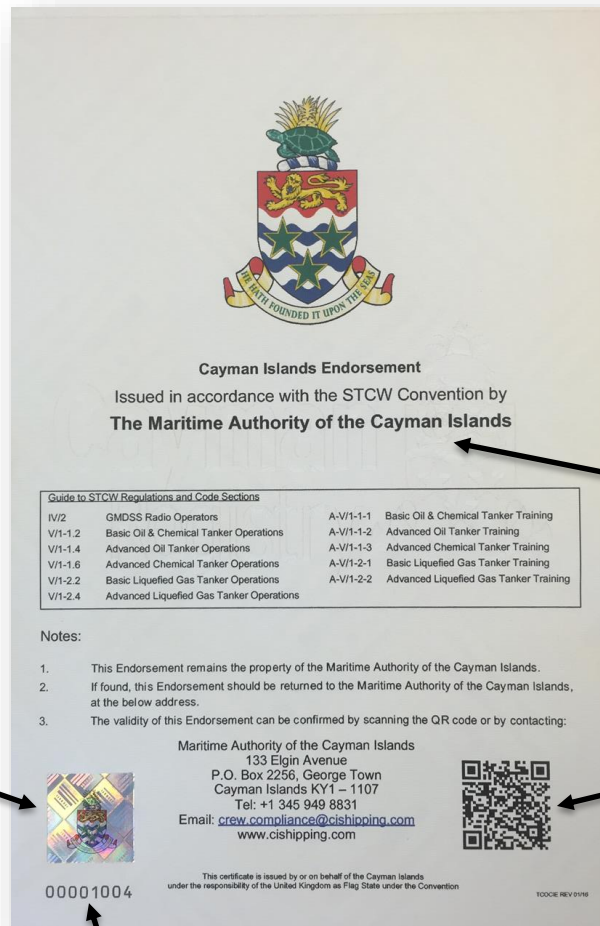
**3 TRANSITIONAL ARRANGEMENTS FOR EXISTING “ENDORSEMENTS ATTESTING TO THE RECOGNITION OF A CERTIFICATE”**

- 3.1 The new form of “Endorsement” will start to be issued during June 2016. The existing form of “Endorsement” will continue to be issued from certain locations until December 2016. This is to allow the new infrastructure to be rolled out to all issuing locations in a controlled and orderly manner.
- 3.2 All existing “Endorsements” will continue to remain valid until the date of expiry stated on the “Endorsement”. This will also apply to any “Endorsements” issued in the existing format before 31 December 2016.
- 3.3 It is therefore possible for an “Endorsement” issued in the existing format to remain valid until 01 January 2021 (subject to the expiry date stated on the Endorsement).
- 3.2 A sample of the existing “Endorsement” is given in Annex 2 of this Shipping Notice.

# Annex 1: Revised form of "ENDORSEMENT ATTESTING THE RECOGNITION OF A CERTIFICATE"

Actual size: 210 X 148 mm (A 5)

## Front



Micro Printing



Hologram Featuring Cayman Islands crest.

"QR Code" for verification of validity

Unique "Audit Number"

Annex 1: Revised form of “ENDORSEMENT ATTESTING THE RECOGNITION OF A CERTIFICATE”



Actual size: 210 X 148 mm (A 5)

Back

ENDORSEMENT NO. KY - 002640 ENDORSEMENT ATTESTING THE RECOGNITION OF A CERTIFICATE UNDER THE PROVISIONS OF THE INTERNATIONAL CONVENTION ON STANDARDS OF TRAINING, CERTIFICATION AND WATCHKEEPING FOR SEAFARERS, 1978, AS AMENDED

THE GOVERNMENT OF THE CAYMAN ISLANDS CERTIFIES THAT  
 CERTIFICATE NUMBER: AY-378250  
 ISSUED TO: **Johnny Anthony Park**  
 DATE OF BIRTH: 20 Dec 1978  
 BY OR ON BEHALF OF THE GOVERNMENT OF:  
**NEW ZEALAND**

is duly recognised in accordance with the provisions of regulation I/10 of the above Convention, as amended, and the lawful holder is authorised to perform the following functions, at the levels specified, subject to any limitations indicated until: **20 Feb 2021**.  
 DATE OF ISSUE: 19 May 2016

 (Signature of duly authorised official)      **Greg Evans** (Name of duly authorised official)       (Signature of holder)

The original of this endorsement must be kept available in accordance with regulation I/2, paragraph 11 of the Convention while serving on a ship.

THE LAWFUL HOLDER OF THIS ENDORSEMENT MAY SERVE IN THE FOLLOWING CAPACITY OR CAPACITIES SPECIFIED IN THE APPLICABLE SAFE MANNING REQUIREMENTS:

| CAPACITY  |                 | LIMITATIONS (IF ANY) |             |
|---|-----------------|----------------------|-------------|
| MASTER  |                 | NONE                 |             |
| ---   |                 | ---                  |             |
| ---   |                 | ---                  |             |
| FUNCTION  | LEVEL           | LIMITATIONS (IF ANY) |             |
| Navigation  | M               | NONE                 |             |
| Cargo Handling and Stowage  | M               | NONE                 |             |
| Controlling the operation of the ship and care for persons on board | M               | NONE                 |             |
| Radiorcommunications (GMDSS)  | O               | NONE                 |             |
| STCW REGULATION   | CERTIFICATE NO. | ISSUING GOVERNMENT   | EXPIRY      |
| V/1-2.4   | 45-78787        | NEW ZEALAND          | 20 Dec 2020 |
| IV/2  | 37-78969        | NEW ZEALAND          | 10 Jan 2021 |
| ---   | ---             | ---                  | ---         |
| ---   | ---             | ---                  | ---         |
| ---   | ---             | ---                  | ---         |
| ---   | ---             | ---                  | ---         |

Micro Printing



The back of the card contains information relating to the holder and the certificates recognized by the Endorsement, along with functions, limitations and capacities in which the holder may serve on board a Cayman Islands ship.

- M = Management Level
- O = Operational Level
- S = Support Level

## Annex 2: Existing form of “ENDORSEMENT ATTESTING THE RECOGNITION OF A CERTIFICATE”

Actual card size: 85.725mm x 53.975mm (CR 80)

### Card Front

**ENDORSEMENT ATTESTING THE RECOGNITION OF A CERTIFICATE**  
 UNDER THE PROVISIONS OF THE INTERNATIONAL CONVENTION ON STANDARDS OF TRAINING, CERTIFICATION AND WATCHKEEPING FOR SEAFARERS, 1978, AS AMENDED  
 THE GOVERNMENT OF THE CAYMAN ISLANDS CERTIFIES THAT CERTIFICATE NUMBER:  
**123456 FOR REVIEW ONLY**  
 ISSUED TO: **A.B. SEAMAN**  
 BY OR ON BEHALF OF THE GOVERNMENT OF:  
**United States**  
 IS DULY RECOGNISED IN ACCORDANCE WITH THE PROVISIONS OF REGULATION I/10 OF THE CONVENTION AND THE LAWFUL HOLDER IS AUTHORISED TO PERFORM THE FOLLOWING FUNCTIONS AT THE LEVELS SPECIFIED, SUBJECT TO ANY LIMITATIONS INDICATED UNTIL:  
**01 Apr 2015**  
 DATE OF ISSUE: **01 Apr 2012** DOB OF HOLDER: **11 Apr 2012**  
 ENDORSEMENT NUMBER: **123456**  
 SIGNATURE OF HOLDER: *Holder* NAME OF DULY AUTHORISED OFFICIAL: **A.N. AUTHORISER** SIGNATURE OF AUTHORISED OFFICIAL: *Authoriser*  
 THE ORIGINAL OF THIS ENDORSEMENT MUST BE KEPT AVAILABLE IN ACCORDANCE WITH REGULATIONS 1.1.1.1 TO 1.1.1.4 OF THE CONVENTION. MAKE SURE YOU HAVE IT READY FOR A CHECK.

The front of the card gives details as to the holder and details of the “Certificate” held along with its issuing Administration.

Contains digital photographs and signatures

### Card Back

THE LAWFUL HOLDER OF THIS ENDORSEMENT MAY SERVE IN THE FOLLOWING CAPACITY OR CAPACITIES SPECIFIED IN THE APPLICABLE SAFE MANNING REQUIREMENTS OF THE ADMINISTRATION: **ENDORSEMENT NO. KY-11002**

| CAPACITY   | LIMITATIONS (IF ANY)                     |                       |
|--|--|-----------------------|
| CHIEF ENGINEER on motorships of any production power | Not valid on tankers and passenger ships |                       |
| CHIEF ENGINEER on motorships of any production power | Not valid on tankers and passenger ships |                       |
| CHIEF ENGINEER on motorships of any production power | Not valid on tankers and passenger ships |                       |
| FUNCTION   | LEVEL                                    | LIMITATIONS (IF ANY)  |
| Navigation   | O  | Function Limitation 1 |
| Cargo Handling and stowage                           | M  | Function Limitation 2 |
| Function 3   | S  | Function Limitation 3 |
| ----   | ----                                     | ----                  |

THIS CERTIFICATE IS ISSUED BY OR ON BEHALF OF THE CAYMAN ISLANDS UNDER THE RESPONSIBILITY OF THE UNITED KINGDOM AS FLAG STATE UNDER THE CONVENTION CIE C C Rev 1.0

The back of the card contains information relating to the capacities and functions in which the holder may serve on board a Cayman Islands ship along with any limitations.

M = Management Level  
 O = Operational Level  
 S = Support Level